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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| Applicant: | NISHIUCHI et al. | Docket No.: | 10873.704USWO |
| Serial No.: | unknown | Filed: | concurrent herewith |
| Int'l Appln No.: | PCT/JP99/05771 | Int'l Filing Date.: | October 19, 1999 |
| Title: | OPTICAL INFORMATION RECORDING MEDIUM, AND METHOD AND APPARATUS FOR RECORDING/REPRODUCTION | | |

CERTIFICATE UNDER 37 CFR 1.10

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I hereby certify that this correspondence is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By: Yolanda Gray
Name: Yolanda Gray

PRELIMINARY AMENDMENT

Box PCT
Assistant Commissioner for Patents
Washington, D. C. 20231

Dear Sir:

In connection with the above-identified application filed herewith, please enter the following preliminary amendment (marked-up copy attached):

IN THE SPECIFICATION

A courtesy copy of the present specification is enclosed herewith. However, the World Intellectual Property Office (WIPO) copy should be relied upon if it is already in the U.S. Patent Office.

Please replace the paragraph beginning at page 1, line 17 with the following:

At present, there is much research on the above recording media to increase their recording capacities. For example, a recording medium having a multilayer structure